

# NIKKI GLOBAL FINANCE LTD.

Registered Office: I-9, LGF, Lajpat Nagar - 1 New Delhi- 110024      CIN: L65999DL1986PLC024493

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## **FAMILIARISATION PROGRAMME FOR INDEPENDENT DIRECTORS**

*"Pursuant to Regulation 25(7) of SEBI (Listing Obligations and Disclosure Requirements)"*

Pursuant to Regulation 25(7) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Company shall familiarise the independent directors through various programmes about the listed entity, including the following:

- (a) nature of the industry in which the listed entity operates;
- (b) business model of the listed entity;
- (c) roles, rights, responsibilities of independent directors; and
- (d) any other relevant information

### **Purpose**

The Program aims to provide insights into the Company to enable the Independent Directors to understand its business strategies in depth and contribute significantly to the Company.

### **Familiarization Process**

1. As and when a new director is appointed, as part of the process of induction, the Company has the practice of familiarising the new director with information on various aspects of the Company, including the following:
  - a) Company's Code of Conduct for directors
  - b) Annual reports of last three years
  - e) Existing composition of Board and Committees
  - f) Members of Senior Management Team
2. Every Director of the Company on their appointment is handed over with a copy of the following documents containing:
  - ✓ Role of Chairperson;
  - ✓ Role of CS and CFO
  - ✓ Code of conduct for Directors and Senior Management;
  - ✓ Code of Independent Directors;
  - ✓ Selection Criteria for Independent Directors;
  - ✓ Role of Non-Executive Directors
  - ✓ Selection Criteria for Non-Executive Directors;

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- ✓ Selection Criteria for Executive Directors and Key Managerial Personnel;
- ✓ General Terms of Appointment of Directors;
- ✓ Role and Responsibilities of Board of Directors
- ✓ Scheduling & Selecting Agenda items for Board Meeting
- ✓ Code of Ethics
- ✓ Policy on Prohibition
  - of Insider Trading,
  - of Fraudulent & Unfair Trade Practices relating to Securities Market

## **DETAILS OF FAMILIARIZATION PROGRAMMES IMPARTED TO INDEPENDENT DIRECTORS**

S. No	Topics of the Programme	No. of Hours spent by Independent Directors	
		For the Period 2021-2022	Cumulative till March 2022
1.	<ol style="list-style-type: none"><li>1. Regulatory Framework for NBFCs/CICs</li><li>2. Lending Business</li><li>3. NBFCs in India, Broking Industry</li><li>4. Duties of Directors under Companies Act, 2013</li></ol>	4	32

### **Review of the Programme:**

This Programme may be reviewed and revised from time to time, as may be required.