

# NIKKI GLOBAL FINANCE LTD.

Registered Office: 3rd Floor , Eastern and Central Wing , 124 Thapar House , Janpath, New Delhi - 110001

CIN: L65999DL1986PLC024493 • Web: www.nikkiglobal.com • E-mail : info@nikkiglobal.com

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**Dated: 19<sup>th</sup> April, 2024**

To,  
The Deputy General Manager,  
Department of Corporate Services,  
BSE LIMITED  
PhirozeJeejeebhoy Towers  
Dalal Street, Mumbai- 400001

**SUB.: Non-Applicability of Regulations 27(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

**Ref.:Nikki Global Finance Ltd. (Scrip Code- 531272)**

Dear Sir/Madam please find below attached documents:

1. Disclosure of Non-Applicability under regulation 27(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter/year ended on 31st March, 2024.
2. Certificate form company secretary of the company for non applicability of corporate governance.
3. Certificate from a PCS to the Stock Exchange(s) certifying non-applicability of Corporate Governance at the beginning of every financial year pursuant to Master Circular SEBI/HO/CFD/POD2/CIR/P/2023/120 dated July 11, 2023.

This is for your kind information and record.

Thanking you.

**For NIKKI GLOBAL FINANCE LTD**

**(DishaBajpai)**  
**Company Secretary and Compliance Officer**  
**M. No. A37897**  
**Encl.:** As above

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**19<sup>th</sup> April, 2024**

To,  
The Deputy General Manager,  
Department of Corporate Services,  
BSE LIMITED  
Dalal Street, Mumbai- 400001

**Ref.: NIKKI GLOBAL FINANCE LTD. (SCRIP CODE- 531272)**

**Sub.: Non-Applicability of Regulations 27(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 on Corporate Governance Report.**

**Dear Sir/Madam,**

In reference to the aforesaid subject, for the purpose of Non-applicability of corporate governance report under regulation 27(2) of SEBI (LODR) Regulation:- **Regulation 15(2) of the SEBI(LODR) Regulations, 2015**- The compliance with the corporate governance provisions as specified in regulations 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 and clauses (b) to (i) and (t) of sub-regulation (2) of regulation 46 and para C, D and E of Schedule V shall not apply, in respect of

- (a) the listed entity having paid up equity share capital not exceeding rupees **ten crore** and net worth not exceeding rupees **twenty five crore**, as on the last day of the previous financial year.

We would like to confirm you that the provisions as specified in Regulation 27(2) shall not apply as the Paid-up Capital of Our Company is only Rs.3,41,97,000/- and Net worth is Rs.1,47,87,332/- for the Financial Year ended on 31.03.2023.

Therefore, we do not fall under the criteria as specified, Regulations 27 shall not apply, in respect of listed entity having paid up equity share capital not exceeding rupees ten crore and net worth not exceeding rupees twenty-five crore, as on the last day of the previous financial year.

This is for your information and record.

Thanking you.

**For NIKKI GLOBAL FINANCE LTD.**

**Disha Bajpai**  
**(Company Secretary and Compliance Officer)**  
**M. No. A37897**

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## **NON APPLICABILITY CERTIFICATE OF CORPORATE GOVERNANCE**

This is to certify that paid up Equity Share Capital of NIKKI GLOBAL FINANCE LIMITED as on the last day of the previous Financial Year i.e. as on 31<sup>st</sup> March, 2023 is below Rs. 10 Crores (i.e. RS.3,41,97,000/-) and Net Worth is below Rs. 25 Crores (i.e. 1,47,87,332/-).

Hence, Regulation - 17 to 27 and Regulation - 46 (2) (b) to (i) and (t) and para C, D and E of Schedule V shall not apply to the Company.

Thanking you

**For NIKKI GLOBAL FINANCE LTD.**

**Disha Bajpai**  
**(Company Secretary and Compliance Officer)**  
**M. No. A37897**

**DATE: 19<sup>th</sup> April 2024**

**Certificate on Non-Applicability of Corporate Governance  
Requirements**

*Pursuant to Master Circular SEBI/HO/CFD/POD2/CIR/P/2023/120 dated July 11, 2023*

I, Vaibhav Agnihotri, Practicing Company Secretary, have examined the relevant records and documents of **NIKKI GLOBAL FINANCE LTD.** (the "Company") and provide this report on the non-applicability of corporate governance requirements.

Based on my examination, I report that the Company is not required to comply with the corporate governance requirements as per the provisions of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (as amended) for the following reasons:

1. The Company's paid-up share capital and net worth are below the thresholds exemption limits prescribed under Regulation 15 (2) (a) of corporate governance provisions. We would like to confirm that the Paid-up Capital of the Company is only Rs.3,41,97,000/- and Net worth is Rs.1,47,87,332/- for the Financial Year ended on **31<sup>st</sup> Marh,2023.**
2. The Company does not fall under the category of "high value debt listed entities" as defined under the SEBI Listing Regulations, and hence the corporate governance requirements for such entities are not applicable.
3. The Company is not a subsidiary of a listed company, and hence the corporate governance requirements applicable to subsidiaries of listed companies are not applicable.

Therefore, based on the above, I confirm that the corporate governance requirements as per the SEBI Listing Regulations are not applicable to the Company at present.

Thanking you.

For V. Agnihotri & Associates  
Practicing Company Secretary

Vaibhav Agnihotri  
Digitally signed by  
Vaibhav Agnihotri  
Date: 2024.04.19  
15:36:41 +05'30'

FCS Vaibhav Agnihotri  
(Proprietor)  
Mem No.: 10363/C.P No. 21596  
Peer Review No. 2065/2022

Date: 19.04.2024

Place: Kanpur

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Add: 401, Kan Chambers, 14/113, Civil Lines, Kanpur-208001

Tel: +91- 9839104031, 8299540104

Email: [fcsvaibhav@gmail.com](mailto:fcsvaibhav@gmail.com); [rmsaconsultantsllp@gmail.com](mailto:rmsaconsultantsllp@gmail.com)