

NIKKI GLOBAL FINANCE LTD.

Registered Office: 3rd Floor , Eastern and Central Wing , 124 Thapar House , Janpath, New Delhi - 110001

CIN: L65999DL1986PLC024493 • Web: www.nikkiglobal.com • E-mail : info@nikkiglobal.com

Dated: 10th October, 2024

To,
The Deputy General Manager,
Department of Corporate Services,
BSE LIMITED
Phiroze Jeejeebhoy Towers
Dalal Street, Mumbai– 400001

SUB.: Certificate and Declaration of Non-Applicability of Regulations 27(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Ref.: Nikki Global Finance Ltd. (Scrip Code- 531272)

Dear Sir/Madam please find below attached documents:

1. Disclosure of Non-Applicability under regulation 27(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended on 30th September, 2024.
2. Certificate for non-applicability of corporate governance for the period ended on 30th September, 2024.
3. Certificate from a PCS to the Stock Exchange(s) certifying non-applicability of Corporate Governance.

This is for your kind information and record.

Thanking you.

For NIKKI GLOBAL FINANCE LTD

Rajesh Kumar Pandey
(Managing Director)
DIN: 09745776

Encl.: As above

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10th October, 2024

To,
The Deputy General Manager,
Department of Corporate Services,
BSE LIMITED
Dalal Street, Mumbai- 400001

Ref.: NIKKI GLOBAL FINANCE LTD. (SCRIP CODE- 531272)

Sub.: Non-Applicability of Corporate Governance under Regulations 27(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Dear Sir/Madam,

In reference to the aforesaid subject, for the purpose of Non-applicability of corporate governance report under regulation 27(2) of SEBI (LODR) Regulation:-

Regulation 15(2) of the SEBI(LODR) Regulations, 2015 - The compliance with the corporate governance provisions as specified in regulations 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 and clauses (b) to (i) of sub-regulation (2) of regulation 46 and para C , D and E of Schedule V shall not apply, in respect of-

- (a) the listed entity having paid up equity share capital not exceeding rupees **Ten crore**, and
- (b) net worth not exceeding rupees **Twenty Five crore**,

as on the last day of the previous financial year.

We would like to confirm you that the provisions as specified in Regulation 27(2) shall not apply as the Paid-up Capital of Our Company is only Rs.3,41,97,000/- and Net-worth is Rs.1,33,25,092/- for the Financial Year ended on 31.03.2024.

Therefore, we do not fall under the criteria as specified, Regulations 27 shall not apply, in respect of listed entity having paid up equity share capital not exceeding rupees ten crore and net worth not exceeding rupees twenty-five crore, as on the last day of the previous financial year.

This is for your information and record.

Thanking you.

For NIKKI GLOBAL FINANCE LTD.

Rajesh Kumar Pandey
(Managing Director)
DIN: 09745776

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NON-APPLICABILITY CERTIFICATE OF CORPORATE GOVERNANCE

This is to certify that paid up Equity Share Capital of NIKKI GLOBAL FINANCE LIMITED as on the last day of the previous Financial Year i.e. as on 31st March, 2024 is below Rs. 10 Crores (i.e. RS. 3,41,97,000/-) and Net Worth is below Rs. 25 Crores (i.e. Rs.1,33,25,092/-).

Hence, Regulation - 17 to 27 and Regulation- 46 (2) (b) to (i) and para C, D and E of Schedule V shall not apply to the Company.

Thanking you

For NIKKI GLOBAL FINANCE LTD.

**MEGHNA
BANSAL**

Digitally signed by MEGHNA BANSAL
DN: cn=Meghna Bansal, o=NIKKI GLOBAL FINANCE LTD.,
2.5.4.20=505a26a677424146c28f7b2a3
4468537a8b359f6d71130765f20041
addr36, postalCode=110007,
c=IN,
serialNumber=89fa0a2b974841356d95
e020c239e860546e6a52b39a642457
08ba0742814e, ou=MEGHNA BANSAL
Date: 2024.10.08 17:52:41 +0530

**Meghna Bansal
Company Secretary
M. No. A43430**



Certificate on Non-Applicability of Corporate Governance Requirements

Pursuant to Master Circular SEBI/HO/CFD/POD2/CIR/P/2023/120 dated July 11, 2023

I, Vaibhav Agnihotri, Practicing Company Secretary, have examined the relevant records and documents of **NIKKI GLOBAL FINANCE LTD** (the "Company") and provide this report on the non-applicability of corporate governance requirements. Based on my examination, I report that the Company is not required to comply with the corporate governance requirements as per the provisions of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (as amended) for the following reasons:

1. The Company's paid-up share capital and net worth are below the thresholds exemption limits prescribed under Regulation 15 (2) (a) of corporate governance provisions. The details of Paid-up Capital and Net worth of the company for the last three Financial Years ended on 31st March, 2024.

Sl. No.	F.Y.	Paid up Capital (in Rs.)	Net worth (in Rs.)
01	2021-22	3,41,97,000	1,45,88,088
02	2022-23	3,41,97,000	1,47,87,332
03	2023-24	3,41,97,000	1,33,25,092

2. The Company does not fall under the category of "high value debt listed entities" as defined under the SEBI Listing Regulations, and hence the corporate governance requirements for such entities are not applicable.
3. The Company is not a subsidiary of a listed company, and hence the corporate governance requirements applicable to subsidiaries of listed companies are not applicable.
4. Therefore, based on the above, I confirm that the corporate governance requirements as per the SEBI Listing Regulations are not applicable to the Company at present.

Thanking you.

For V. Agnihotri & Associates

Practicing Company Secretary

Vaibhav Agnihotri
Digitally signed by
Vaibhav Agnihotri
Date: 2024.10.10
16:35:07 +05'30'

FCS Vaibhav Agnihotri

(Proprietor)

Mem No.: 10363/C.P No. 21596

Peer Review No. 2065/2022

Date: 10.10.2024

Place: Kanpur

Add: 401, Kan Chambers, 14/113, Civil Lines, Kanpur-208001

Tel: +91- 9839104031, 8299540104

Email: fcsvaibhav@gmail.com; rmsaconsultantsllp@gmail.com