

# NIKKI GLOBAL FINANCE LTD.

Registered Office: I-9, LGF, Lajpat Nagar - 1 New Delhi- 110024 CIN: L65999DL1986PLC024493

Telefax: +91-11-64000323 | Web: www.nikkiglobal.com | E-mail: info@nikkiglobal.com

Date: 29.06.2021

To,  
The Deputy General Manager,  
Department of Corporate Services,  
Bombay Stock Exchange, Mumbai  
Phiroze Jeejeebhoy Towers  
Dalal Street,  
Mumbai- 400001

Dear Sir/Madam,

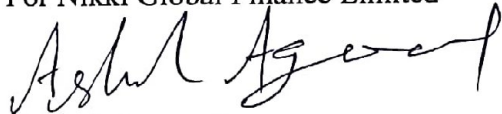
**Sub: Annual Secretarial Compliance Certificate**

**Ref.:- Scrip Code - 531272**

We enclose, in terms of SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8<sup>th</sup> February, 2019, the Secretarial Compliance Report of the Company issued by V. Agnihotri & Associates, Practicing Company Secretaries, for the financial year ended 31<sup>st</sup> March, 2021.

Your's Faithfully,

For Nikki Global Finance Limited



(Ashesh Agarwal)  
Managing Director  
DIN:02319026

Encl.: as above



**ANNUAL SECRETARIAL COMPLIANCE REPORT OF NIKKI  
GLOBAL FINANCE LIMITED FOR THE YEAR ENDED MARCH  
31, 2021**

To,  
**NIKKI GLOBAL FINANCE LIMITED**  
(L65999DL1986PLC024493)  
Regd. office: I-9, LGF, Lajpat Nagar I,  
New Delhi-110024

***I, Vaibhav Agnihotri, Proprietor of M/s V. Agnihotri & Associates have examined:-***

- (a) all the documents and records made available to us and explanation provided by **Nikki Global Finance Limited** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2021 ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and



Email: [csvaibhav2014@gmail.com](mailto:csvaibhav2014@gmail.com); [rmsaconsultantsllp@gmail.com](mailto:rmsaconsultantsllp@gmail.com)

9839104031  
8299540104

**Add: 55/19, Kahoo Kothi, Kanpur-208001**



**V. AGNIHOTRI & ASSOCIATES**  
**Company Secretaries**

- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

***The specific Regulations, whose provisions and the circulars/guidelines issued there under, have been examined, include:-***

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **NOT APPLICABLE**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **NOT APPLICABLE**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **NOT APPLICABLE**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **NOT APPLICABLE**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **NOT APPLICABLE**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993;
- (j) Other Rules/Regulations, and circulars/guidelines issued there under applicable to the Listed entity-



Email: [csvaibhav2014@gmail.com](mailto:csvaibhav2014@gmail.com); [rmsaconsultantsllp@gmail.com](mailto:rmsaconsultantsllp@gmail.com)

:9839104031

8299540104

Add: 55/19, Kahoo Kothi, Kanpur-208001



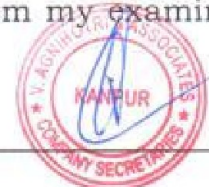
**V. AGNIHOTRI & ASSOCIATES**  
**Company Secretaries**

**I hereby report that during the period under review:**

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under; except in respect of the matters specified below;

<b>S.No.</b>	<b>Compliance Requirement(Regulations/ Circulars/ guidelines including specific clause</b>	<b>Deviations</b>	<b>Observations /Remarks of Practicing Company Secretary</b>
1.	Regulation 46 of SEBI (Listing Obligations and Disclosure Requirement), Regulations , 2015	Website of the Company is not updated on some counts	As informed by the Management the updation of Website is under Process and delayed due to the impact of COVID 19

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under in so far as it appears from my examination of those records;



Email: [csvaibhav2014@gmail.com](mailto:csvaibhav2014@gmail.com); [rmsaconsultantsllp@gmail.com](mailto:rmsaconsultantsllp@gmail.com)

☎:9839104031

8299540104

**Add: 55/19, Kahoo Kothi, Kanpur-208001**



**V. AGNIHOTRI & ASSOCIATES**  
**Company Secretaries**

- (c) As informed by the management there have been no actions taken against the listed entity/ its promoters / directors/ material subsidiaries either by SEBI or by Stock Exchanges including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars guidelines issued there under;
- (d) The listed entity has taken following actions to comply with the observations made in the previous reports :

<b>S.No</b>	<b>Observations of Previous Auditor</b>	<b>Observations made in the secretarial Compliance report for the year ended</b>	<b>Actions taken by listed entity</b>	<b>Comments of Secretarial Auditor on the actions taken by listed entity</b>
1.	Website of the Company is not updated on some counts	2019-2020	As informed to us the Company is updating its website but the same has been effected due to the impact of COVID 19	The Website has been updated on some counts but needs to be further updated to abide by some provisions of Regulation 46 of SEBI (LODR) , Regulations , 2015



Email: [csvaibhav2014@gmail.com](mailto:csvaibhav2014@gmail.com); [rmsaconsultantsllp@gmail.com](mailto:rmsaconsultantsllp@gmail.com)

9839104031

8299540104

Add: 55/19, Kahoo Kothi, Kanpur-208001



**V. AGNIHOTRI & ASSOCIATES**  
**Company Secretaries**

**Note: The physical verification of the documents has not been possible due to the Pandemic Covid-19.**

**For V. Agnihotri & Associates  
Company Secretaries**

*Vaibhav*  
**FCS Vaibhav Agnihotri  
(Proprietor)**

**Mem. No. 10363**

**C. P. No.: 21596**

**Place: Kanpur**

**Date: 28/06/2021**

**UDIN: F010363C000523986**

**\*\*\*\*\*END OF REPORT\*\*\*\*\***

Email: [csvaibhav2014@gmail.com](mailto:csvaibhav2014@gmail.com); [rmsaconsultantsllp@gmail.com](mailto:rmsaconsultantsllp@gmail.com)

9839104031

8299540104

Add: 55/19, Kahoo Kothi, Kanpur-208001