

NIKKI GLOBAL FINANCE LTD.

Registered Office: I-9, LGF, Lajpat Nagar - I New Delhi- 110024 CIN: L65999DL1986PLC024493

Telefax: +91-11-64000323 | Web: www.nikkiglobal.com | E-mail: info@nikkiglobal.com

23rd May, 2022

To,
The Deputy General Manager,
Department of Corporate Services,
BSE LIMITED
Dalal Street, Mumbai- 400001

Ref.: NIKKI GLOBAL FINANCE LTD. (SCRIP CODE- 531272)

**Sub.: Annual Secretarial Compliance Report for the Year ended on 31st March, 2022
as per Regulation 24A of SEBI (LODR) Regulations, 2015**

Dear Sir/Madam,

With reference to the above mentioned subject, please find enclosed herewith the Annual Secretarial Compliance Report for the year ended on March 31, 2022 given by the Company Secretary in Practice as per Regulation 24 A of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015.

Thanking you.

For NIKKI GLOBAL FINANCE LTD.


(Disha Bajpai)
Company Secretary
M. No. A37897

Encl.: As stated above



**SECRETARIAL COMPLIANCE REPORT OF NIKKI GLOBAL
FINANCE LIMITED FOR THE YEAR ENDED MARCH 31, 2022**

To,
NIKKI GLOBAL FINANCE LIMITED
(L65999DL1986PLC024493)
Regd. office: I-9, LGF, Lajpat Nagar I,
New Delhi-110024

I, Vaibhav Agnihotri, Proprietor of M/s V. Agnihotri & Associates have examined:-

- (a) all the documents and records made available to us and explanation provided by **Nikki Global Finance Limited** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2022 ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI");



The specific Regulations, whose provisions and the circulars/guidelines issued there under, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **NOT APPLICABLE**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **NOT APPLICABLE**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **NOT APPLICABLE**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **NOT APPLICABLE**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **NOT APPLICABLE**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993;
- (j) Other Rules/Regulations, and circulars/guidelines, issued there under applicable to the Listed entity-

I hereby report that during the period under review:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under; except in respect of the matters specified below;



S.No.	Compliance Requirement(Regulations/ Circulars/guidelines including specific clause	Deviations	Observations /Remarks of Practicing Company Secretary
1.	Regulation 46 of SEBI (Listing Obligations and Disclosure Requirement), Regulations , 2015	Website of the Company is not updated on some counts	As informed by the Management the updation of Website is under Process and will be updated within some days.

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under in so far as it appears from my examination of those records;
- (c) As informed by the management there have been no actions taken against the listed entity/ its promoters / directors/ material subsidiaries either by SEBI or by Stock Exchanges including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars guidelines issued there under;
- (d) The listed entity has taken following actions to comply with the observations made in the previous reports:



S.No	Observations of Previous Auditor	Observations made in the secretarial Compliance report for the year ended	Actions taken by listed entity	Comments of Secretarial Auditor on the actions taken by listed entity
1.	Website of the Company is not updated on some counts	2020-2021	As informed to us the Company has updated the website till last financial year and will be updated in due course.	The Website has been updated on some counts but needs to be further updated to abide by some provisions of Regulation 46 of SEBI (LODR) , Regulations , 2015

**For V. Agnihotri & Associates
Company Secretaries**




**FCS Vaibhav Agnihotri
(Proprietor)**

Mem. No. 10363

C. P. No.: 21596

Place: Kanpur

Date: 19/05/2022

UDIN: F010363D000345599

*******END OF REPORT*******